



# Facsimile Cover Sheet

Total number of pages including cover sheet		14	Date		13 September, 2004
To	Name				
	Examiner M.J. McMahon				
	Department/Company				
	US Patent & Trademark Office - Art Unit 3747				
Plant/Office/Address					
	Fax			Telephone	
	+1 (703) 872-9306			+1 (703) 308-1956	
From	Name				
	John J. Check				
	Company				
	Caterpillar Legal Services				
Fax			Telephone		
+ 44 (0) 1733 58 3771			+ 44 (0) 1733 58 4346		

## Message:

In re Application of	)	
Richard Pateman et al.	)	Art Unit: 3747
Application No. 10/616,522	)	Examiner: M.J. McMahon
Filed: July 10, 2003	)	
For: COMBINED SHUT-OFF VALVE	)	
AND COVER FOR AN	)	
ENGINE BREATHER SYSTEM	)	
Attorney Docket No. 02-119	)	

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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Application No. 10/616,522  
Attorney Docket No. 02-119

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )

Richard Pateman et al. )

Application No. 10/616,522 )

Filed: July 10, 2003 )

For: COMBINED SHUT-OFF VALVE )  
AND COVER FOR AN )  
ENGINE BREATHER SYSTEM )

Attorney Docket No. 02-119 )

Art Unit: 3747

Examiner: M.J. McMahon

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence was transmitted by facsimile to the U.S. Patent and Trademark Office, Facsimile No. (703) 872-9306, on September 13, 2004.

Name: JOHN J CHEEK

Date: 13 SEP 2004

Signature: John Cheek

Application No. 10/616,522  
Attorney Docket No. 02-119

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

The information listed on the attached form PTO/SB/08 is submitted for consideration by the examiner in connection with the above-captioned application.

An English language translation of the written description of previously submitted German publication DE 198 01 608 A1 is submitted herewith. The translation was obtained by use of the Alta Vista Internet translation service on September 13, 2004. Undersigned counsel has not evaluated the accuracy of the translation and thus makes no representation as to the accuracy of the translation.

A complete copy of Japanese publication JP 59 208 116 is submitted herewith. A copy of the English language "Patents Abstracts of Japan" document was previously submitted.

In case not already submitted, a copy of the European Search Report from the European priority application is submitted herewith as an indication of the relevance any non-English documents cited in the European Search Report (all of which were previously submitted and considered by the Examiner).

Although neither the English translation of DE 198 01 608 A1 nor the full copy of JP 59 208 116 was known to any person designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement, please charge the fee specified in 37 CFR §1.98(c)(2) to deposit account 03-1129. A fee transmittal sheet is attached.

Respectfully submitted,



John J. Cheek  
Registration No. 39,628  
Perkins Engines Co. Ltd.  
Caterpillar Inc.

Telephone: (309) 675-8023  
Facsimile: (309) 675-1236

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PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032  
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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)  
180

## Complete if Known

Application Number 10/616,572  
Filing Date 07/10/2003  
First Named Inventor PATEMAN  
Examiner Name M.J. McMahon  
Art Unit 3747  
Attorney Docket No. 02-119

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number  
Deposit Account Name

03-1129

Caterpillar

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments

☐ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 180	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$)

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1202 18	2202 9	Claims in excess of 20	
1201 86	2201 43	Independent claims in excess of 3	
1203 290	2203 145	Multiple dependent claim, if not paid	
1204 86	2204 43	** Reissue independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 280	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	180
8021 40	8021 40	Recording each patent assignment per property (lines number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)  
180

## SUBMITTED BY

Name (Print/Type)

John J Cheek

Registration No.

39,628

(Complete if applicable)

Telephone 309-675-8023

Signature

John Cheek

Date

13 Sep 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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